



# Improvements of Carbon Emissions Efficiency from AI Workloads

Vincent Poncet  
Google Cloud  
April 2023

Based on [“The Carbon Footprint of Machine Learning Training Will Plateau, Then Shrink”](#), July 2022  
in *IEEE Computer*, and [“Carbon Emissions and Large Neural Network Training”](#) April 2021, arXiv  
preprint arXiv:2104.10350

by David Patterson, Joseph Gonzalez, Quoc Le, Chen Liang, Lluís-Miquel Munguia,  
Daniel Rothchild, David So, Maud Texier, and Jeff Dean

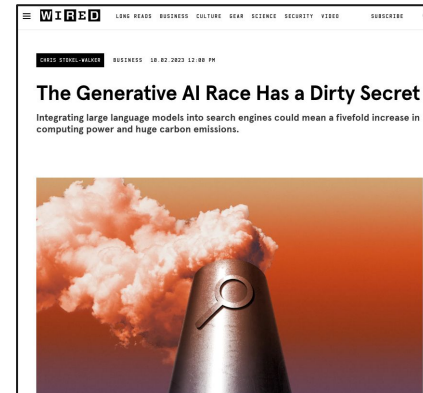
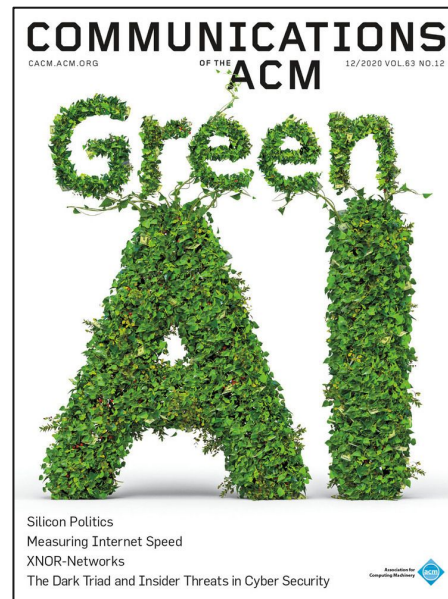
# AI and Climate Change

Lots of external interest on Energy Consumption and CO<sub>2</sub> emissions of ML recently:

- [Str19] Strubell, E., Ganesh, A. and McCallum, A., June 2019. [Energy and policy considerations for deep learning in NLP](#). arXiv preprint arXiv:1906.02243
- [Lac19] Lacoste, A., Luccioni, A., Schmidt, V. and Dandres, T., Nov 2019 [Quantifying the carbon emissions of machine learning](#)
- [Tho20] Thompson, N.C., et al., 2020. [The computational limits of deep learning](#). arXiv preprint arXiv:2007.05558.
- [Sch20] Schwartz, R., Dodge, J., Smith, N.A. and Etzioni, O., Dec 2020. [Green AI](#). *Communications of the ACM*, 63(12), pp.54-63
- [Fre21] Freitag, C., et al, 2021. [The real climate and transformative impact of ICT: A critique of estimates, trends, and regulations](#). *Patterns*, 2(9).
- [Luc22] Luccioni, Viguier, Ligozat, 2022. Estimating the Carbon Footprint of BLOOM, a 176B Parameter Language Model. [arXiv:2211.02001](#)

Media coverage is growing:

- The Generative AI Race Has a Dirty Secret. 2023/02/10. [Wired](#)
- The internet contributes 1.6 billion annual tons in greenhouse gas emissions. Google and Microsoft's AI search war will make it worse. 2023/02/13 [Business Insider](#)
- The mounting human and environmental costs of generative AI. 2023/04/12. [Ars Technica](#)



# What we learned

- Can reduce energy up to 100X(!), reduce CO<sub>2</sub>e up to 1000X(!!) via best practices: pick DNN, ML accelerator, datacenter, location carefully (“4Ms”)
  - **Model matters:** Sparsely activated DNNs consume ~10X less energy than large dense DNNs
  - **Machine matters:** TPUs ~2-5X more energy efficient than standard processors
  - **Mechanization matters:** Cloud ~1.4-2X more energy efficient than an average datacenter
  - **Map location matters:** varies ~5-10X tCO<sub>2</sub>e/KWh *within same country & organization*

# Talk Outline

1. Case Study from Transformer (2017) to Evolved Transformer (2019) to Primer (2021) as vary processor and datacenter (the “4Ms”)
2. Case study energy consumption and CO<sub>2</sub> emissions for recent large NLP models: T5, Meena, GShard, Switch Transformer, GLaM, and GPT-3
3. Update prior CO<sub>2</sub> emissions estimates that are off by 100X–100,000X
4. Address FAQs: ML Energy growth, Access to Cloud

# We studied Operational energy use, not Lifecycle

- Emissions can be classified as
  - *Operational*, energy cost of operating ML hardware including datacenter overheads (Scope 2), or
  - *Lifecycle* additionally includes embedded carbon emitted during manufacturing of all components, from chips to datacenter buildings (Scope 3).
- Like most prior work (papers cited above) we focus on operational emissions
- Estimating lifecycle emissions is a larger, more difficult, future study

# 1) Case study:

## Transformer $\Rightarrow$ Evolved Transformer $\Rightarrow$ Primer

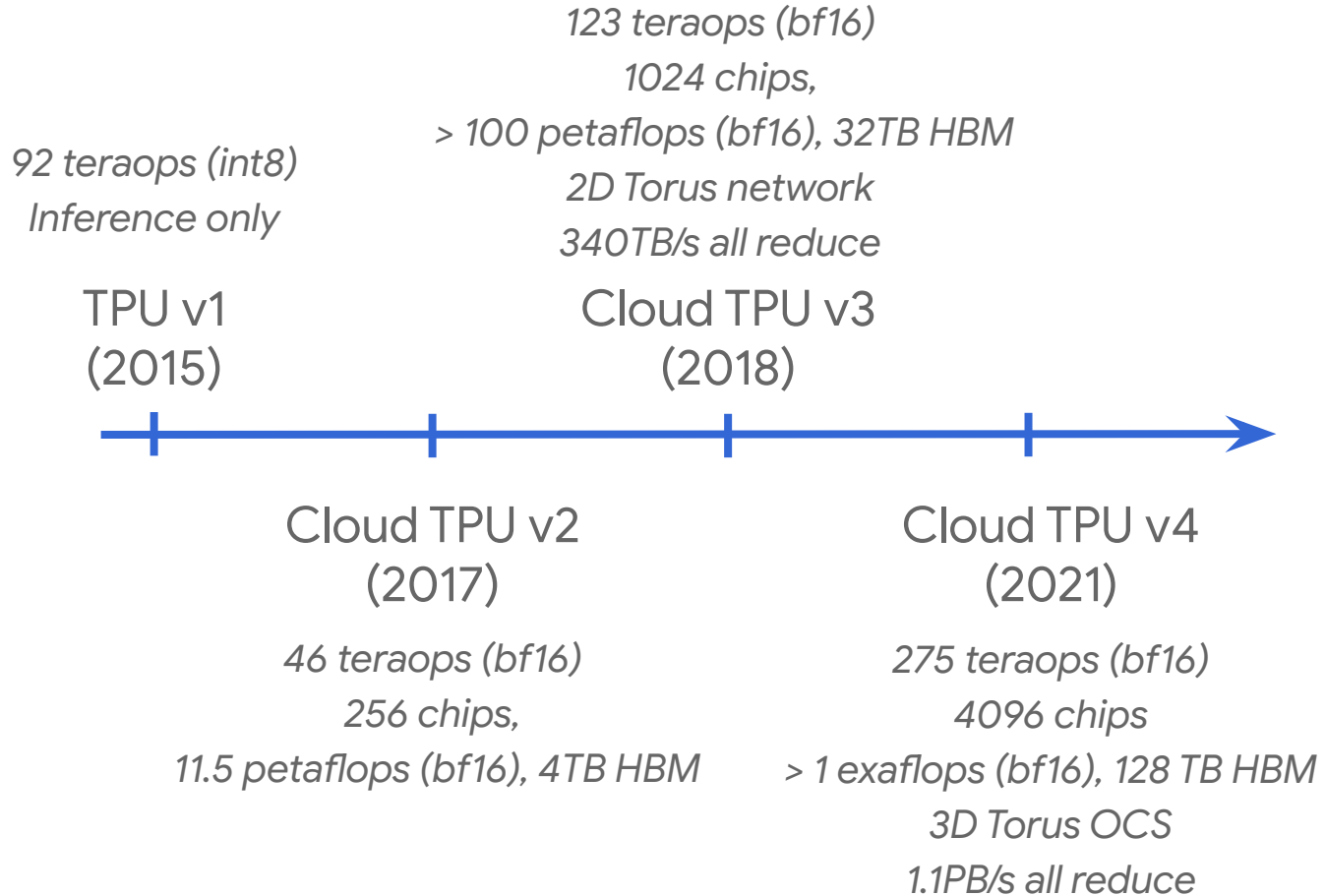
- **Model:** Compare Transformer on P100 GPUs in average US datacenter 2017 vs Evolved Transformer [So19] on TPUv2s in Google Iowa datacenter 2019 vs Primer [So21] on TPUv4s in Google Oklahoma datacenter 2021
- **Algorithm/program improvement:** save time, money, energy, and CO<sub>2</sub>e
  - All models deliver same accuracy/error rate, some just faster
  - Evolved Transformer (2019) takes **1.3X** less time than Transformer  $\Rightarrow$  **1.3X** less CO<sub>2</sub>e
  - Primer (2021) takes **4.2X** less time than Transformer  $\Rightarrow$  **4.2X** less CO<sub>2</sub>e
- Four years later, **4.2X** better for same results from better ML model

# 1) Case Study: Processor P100 GPU $\Rightarrow$ TPUv2 $\Rightarrow$ TPUv4

- **Machine:** Net gain Evolved Transformer: TPUv2 (2019) performance/Watt is **5.7X** better than P100 GPU (2017)  $\Rightarrow$  another factor of **5.7X** less CO<sub>2</sub>e from better processors
  - NVIDIA P100 GPU optimized for graphics, not for ML
- Net gain Primer: TPUv4 (2021) performance/Watt is **13.7X** better than P100 GPU (2017)  $\Rightarrow$  another factor of **13.7X** less CO<sub>2</sub>e from better processors
- TPUs aim to improve performance/Total Cost of Ownership, almost perfectly linear correlated with performance/Watt\*  $\Rightarrow$  saves time, money, energy, CO<sub>2</sub>e
- 4 Years later, **13.7X** better for hardware optimized for ML vs standard processors

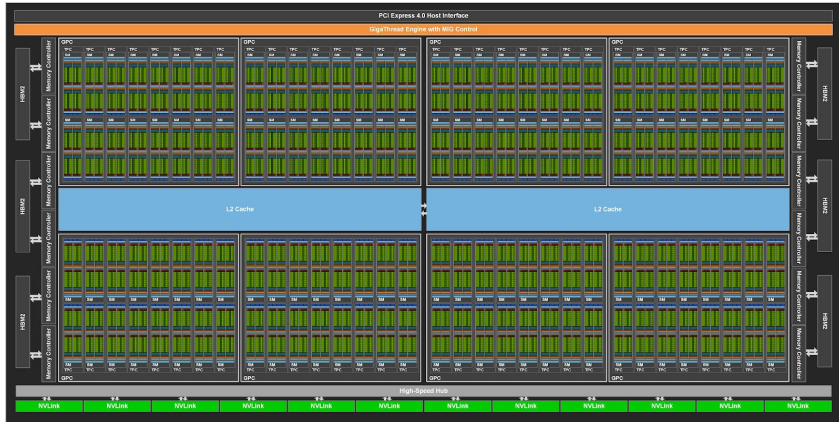
\* Jouppi, N., Yoon, D-H, Jablin, T., Kurian, G., Laudon, J., Li, S., Ma, P., Ma, X., Patil, N., Prasad, S., Young, C., Zhou, Z., and Patterson, D., June 2021. Ten Lessons From Three Generations Shaped Google's TPUv4i, 48th International Symposium on Computer Architecture.

# 1) TPU Generations

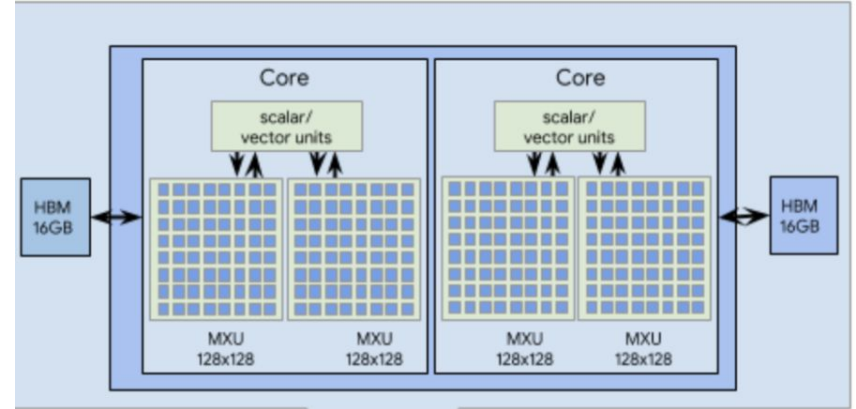




# 1) Specialized and more energy efficient architecture



A100 Tensor Core GPU has 7 GPCs, 7 or 8 TPCs/GPC, 2 SMs/TPC, up to 16 SMs/GPC, 108 SMs  
64 FP32 CUDA Cores/SM, 6912 FP32 CUDA Cores/GPU  
4 Tensor Cores/SM, 432 Tensor Cores per GPU.  
40 MiB on chip memory  
Integrated NVLink up to 8 GPUs  
Ethernet or Infiniband for up to X Ks chips



TPUv4 Cores with 2 MXUs per core

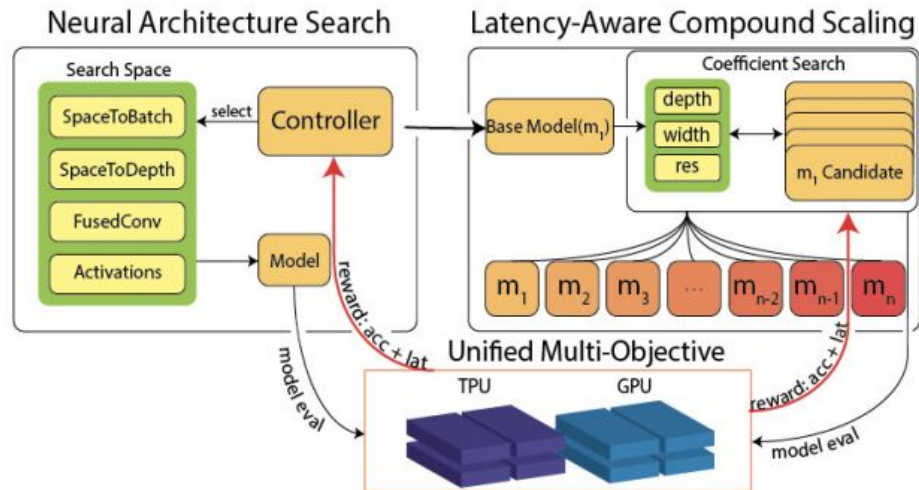
Embedding Lookup Unit, SparseCore  
170 MiB on chip memory  
Integrated Inter-Chip Interconnect to 4K chips  
through Optical 3D Torus Mesh



# 1) Case Study: Model/Hardware Efficiency

- More specialised hardware and platform-optimized models drives higher FLOPs efficiency
  - Reinforcement Learning using Platform metrics
    - ALU energy/latency cost
    - Register/Cache/RAM/network energy/latency cost
  - Multi-Objectives
    - Training cost
    - Inference Latency

Model	# of Parameters (in billions)	Accelerator chips	Model FLOPS utilization
GPT-3	175B	V100	21.3%
Gopher	280B	4096 TPU v3	32.5%
Megatron-Turing NLG	530B	2240 A100	30.2%
PaLM	540B	6144 TPU v4	46.2%



# 1) Case Study: PUE US Avg. Datacenter $\Rightarrow$ Google Iowa

- **Mechanization: Power Usage Effectiveness (PUE):** Energy overhead “wasted” in datacenter (doesn’t get to computers)
  - Datacenter industry standard metric for energy efficiency
  - If 50% overhead, PUE is 1.50
  - Global average in 2017 was **1.60** (1.57 in 2021)
  - Google Iowa average in 2019 was **1.11**
  - Google Oklahoma average in 2021 was **1.11**
- PUE improvement is  **$\sim 1.4X \Rightarrow 1.4X$**  less CO<sub>2</sub>e if use optimized datacenter
  - Cloud datacenters large, new warehouses optimized for energy efficiency vs on premise datacenters often 10X smaller, squeezed into space for other uses

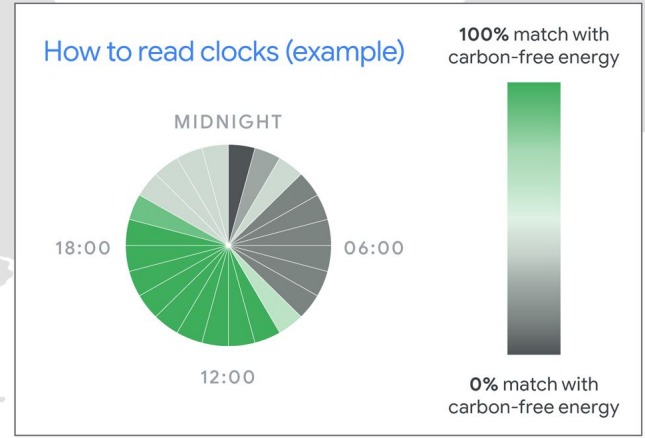
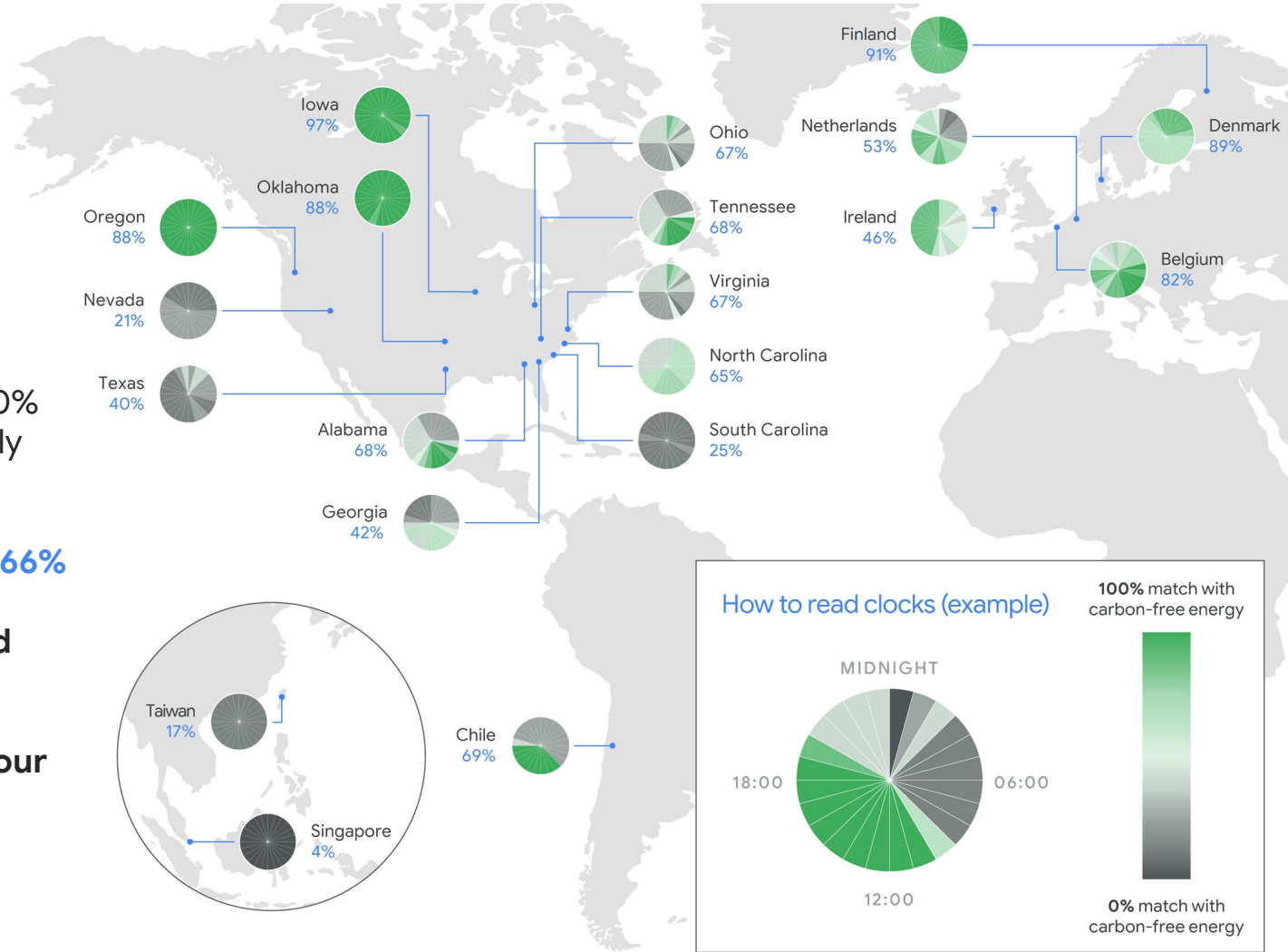
# 1) Case Study: Energy US Avg. ⇒ Iowa ⇒ Oklahoma

- Map location: Average US energy mix in 2017 ⇒ 0.488 kg CO<sub>2</sub>e / KWh
- Google Iowa energy mix in Q4 2019 ⇒ 0.080 kg CO<sub>2</sub>e / KWh (5.4X less)
- Google Oklahoma energy mix in Q2 2021 ⇒ 0.054 kg CO<sub>2</sub>e / KWh (9.0X less)
  
- Google contracts with renewable energy projects since 2010, attained 100% renewable energy matched at the annual global basis since 2017
- Google announced all datacenters will use 100% carbon free energy (CFE) by 2030
  - 61% CFE in 2019, 66% CFE in 2021
  - “24x7”: Google purchases on same local grid in same hour ⇒ lowers net CO<sub>2</sub>e/KWh value

Since 2017, Google is 100% Renewable Energy globally annually matched.

In 2021, Google reached **66% carbon-free energy** globally on an **hourly grid basis**.

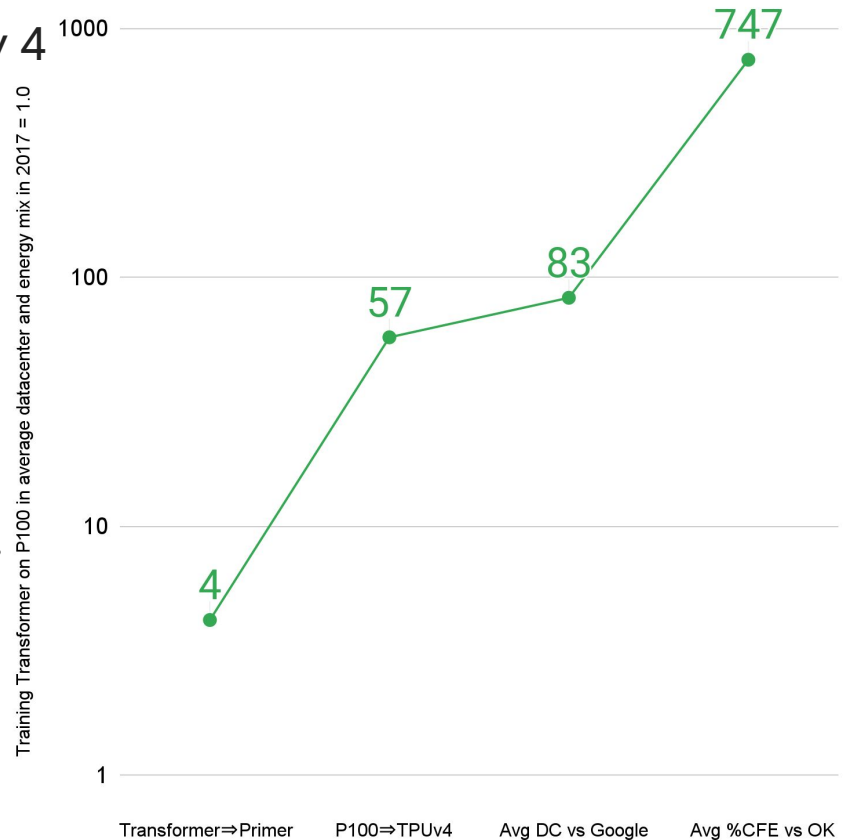
In the same year, **five of our data centers** operated at or near **90%**.



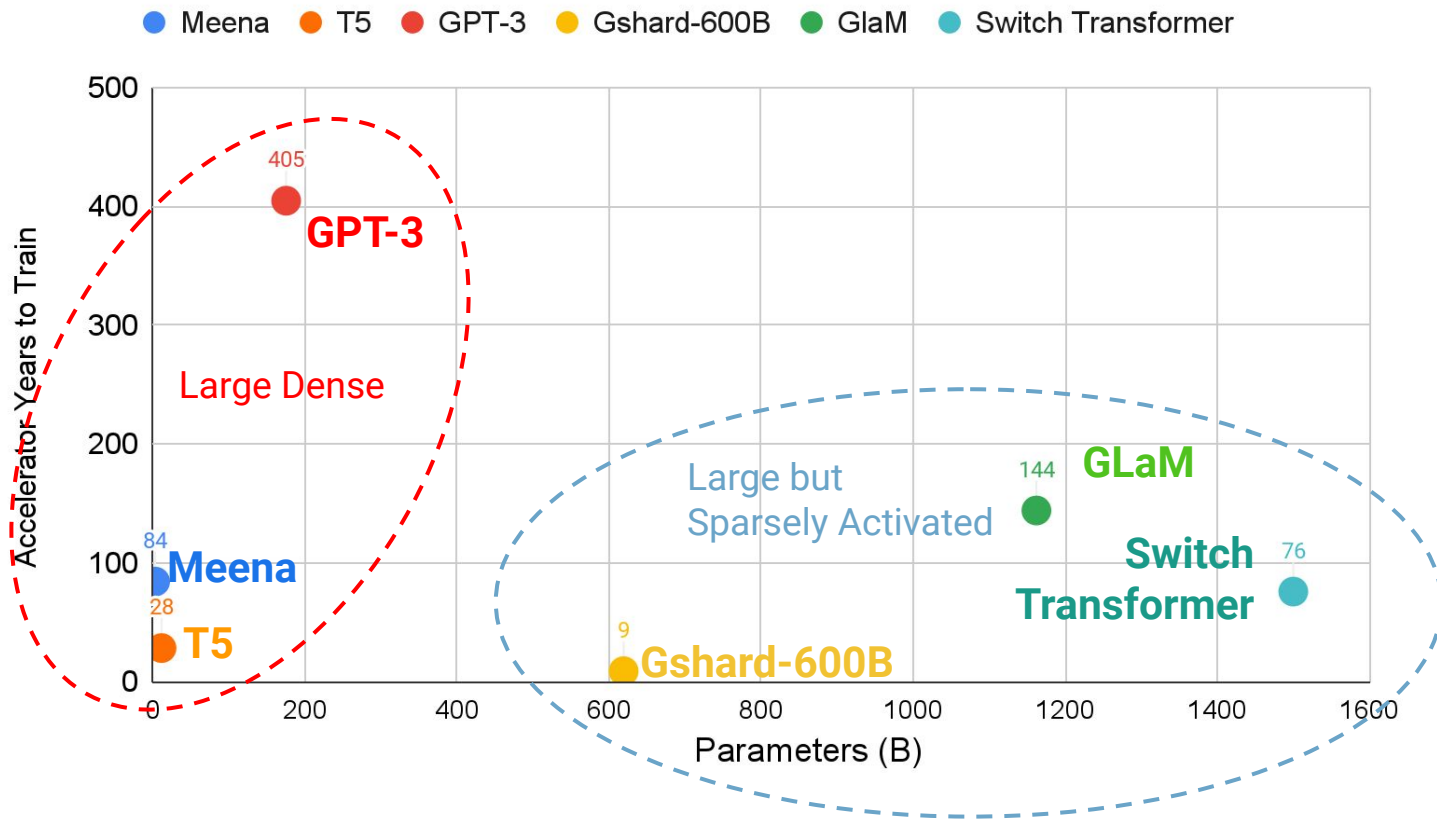
# Cumulative Benefits: Reduce energy 100X, CO<sub>2</sub>e 1000X!

Energy efficiency in ML can be improved by 4 (multiplicative) best practices  
“4Ms of ML Energy Efficiency”

1. **Model.** Transformer (2017) to Primer (2021) is 4x
2. **Machine.** P100 (2017) to TPUv4 (2021) is 14x
3. **Mechanization** (datacenter efficiency). PUE from global average to Google average is 1.4x
4. **Map** (geographic location, energy source). Avg %Carbon Free Energy (2017) to Google OK %CFE is 9x (2021)

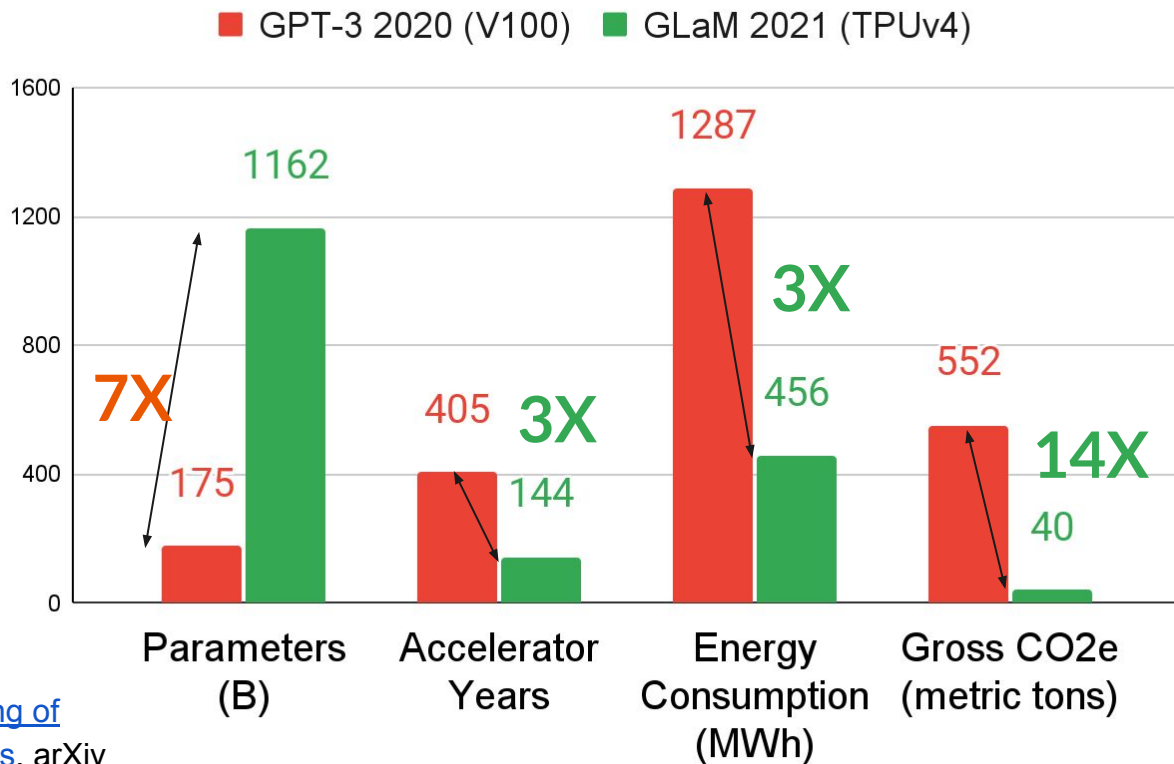


## 2) Large Recent NLP Models: Increase in Parameters vs Total Compute Time



# GLaM (TPUv4, Google Oklahoma datacenter, 2021) vs GPT-3 (V100 GPU, Microsoft datacenter, 2020)

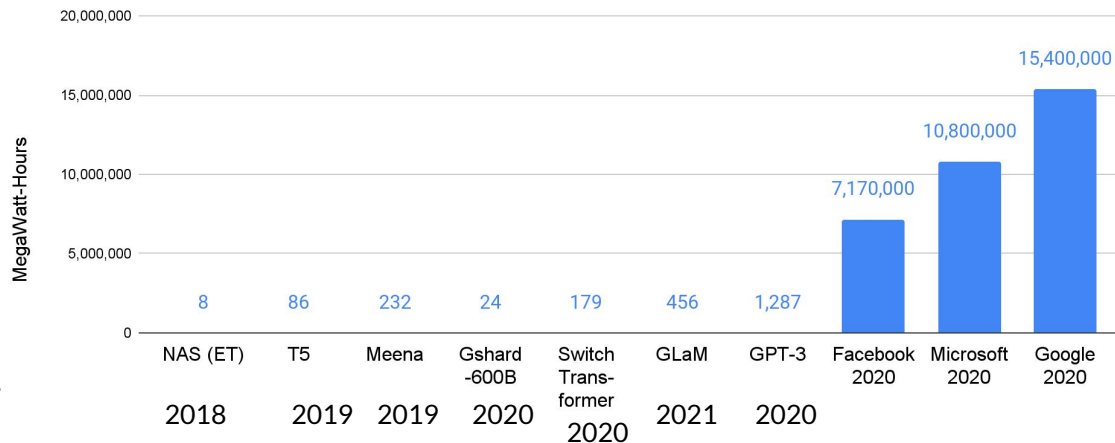
- 18 months after GPT-3
- GLaM has *better accuracy* for same tasks as GPT-3
- 7X more parameters
- Mixture of experts: 8% parameters/token
- 3X less time, energy
- 14X less CO<sub>2</sub>e





# Discussion: Is Training a large % of Cloud footprint?

- Google total energy consumed 2020 = 15.4 TeraWatt-hours
- Microsoft total energy 2020 = 10.8 TW-h
- Facebook 2020 = 7.1 TWh
- Energy for NAS + Meena + T5 + Gshard-600B + Switch Transformer + GLaM + GPT-3 is round off error



# Google's custom-designed Cloud TPU v4 Pods



## Breathtaking Scale & Speed

- Industry-leading interconnect: 6 Tbps per host allowing to significantly speed up training
- Embeddings acceleration: perfect for embedding heavy models such DLRM



## Price-Performance & Efficiency

- Flop-per-dollar gains: 2.2x more peak FLOPs and ~1.4x more peak FLOPs per dollar vs Cloud TPU v3
- Exceptionally high utilization of these FLOPs at scale up through thousands of Cloud TPU v4 chips



## Sustainability / Zero carbon footprint

- ~90% direct clean energy supply in our world's largest ML cluster in Oklahoma with up to 9 exaflops of peak compute
- Lower carbon impact: remaining operational carbon emissions are fully offset

## ML Engagements team

- A team of top ML experts: responsible for ensuring customer success on any framework and developing end-to-end innovative and cutting edge solutions on Cloud TPUs



TensorFlow

Google Cloud

# What's so special about Google's custom-designed Cloud TPU v4 Pods?



## Breathtaking Scale & Speed

- Industry-leading interconnect: 6 Tbps per host allowing to significantly speed up training
- Embeddings acceleration: perfect for embedding heavy models such DLRM



## Price-Performance & Efficiency

- Flop-per-dollar gains: 2.2x more peak FLOPs and ~1.4x more peak FLOPs per dollar vs Cloud TPU v3
- Exceptionally high utilization of these FLOPs at scale up through thousands of Cloud TPU v4 chips



## Sustainability / Zero carbon footprint

- ~90% direct clean energy supply in our world's largest ML cluster in Oklahoma with up to 9 exaflops of peak compute
- Low carbon impact: remaining operational carbon emissions are fully offset

## ML Engagements team

- A team of top ML experts: responsible for ensuring customer success on any framework and developing end-to-end innovative and cutting edge solutions on Cloud TPUs



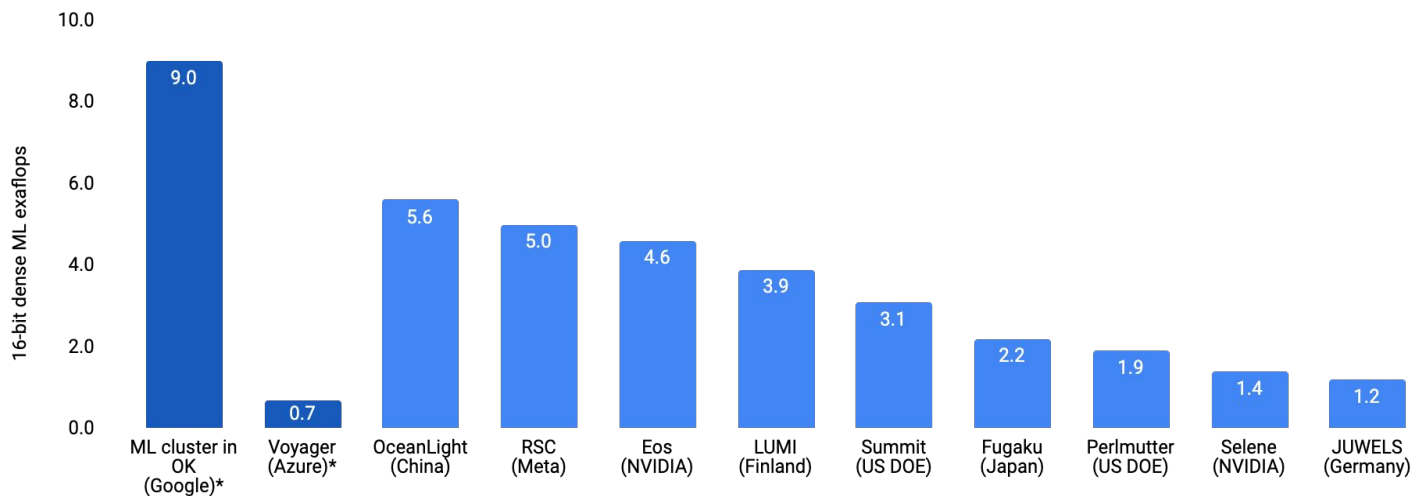
TensorFlow

Google Cloud

# World's largest ML hubs / supercomputers



(16-bit dense / BF 16 ML exaflops)



\* Clusters available in public cloud are highlighted in dark blue (compiled by jekbradbury@ based on publicly available information)

# Summary

- Can reduce energy up to 100X(!), reduce CO<sub>2</sub>e up to 1000X(!!) via best practices: pick DNN, ML accelerator, datacenter, location carefully (“4Ms”)
  - **Model matters:** Sparsely activated DNNs consume ~10X less energy than large dense DNNs
  - **Machine matters:** TPUs ~2-5X more energy efficient than standard processors
  - **Mechanization matters:** Cloud ~1.4-2X more energy efficient than an average datacenter
  - **Map location matters:** varies ~5-10X tCO<sub>2</sub>e/KWh *within same country & organization*
- Cloud provides access to the highest specialized supercomputers on demand with the highest efficiency and lowest carbon footprint